

Instructions for FSA-229-1

TRADE ADJUSTMENT ASSISTANCE (TAA) FOR FARMERS PROGRAM APPLICATION

Producers use this form to apply for benefits under the TAA for Farmers program.

To be eligible, producers must submit this form, and any required price or production documentation, no later than the application deadline.

Submit the original of the completed form in hard copy or facsimile to the appropriate FSA Service Center.

Producers must complete Parts A – D, Items 5 through 16.

Items 1-4 are for FSA only

Fld Name / Item No.	Instruction
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PART A – Applicant Information

5A Applicant Name and Address	Applicant enters name and official mailing address, including Zip code. Whether the applicant (<i>for payment</i>) is an individual or entity, the applicant name is entered in Item 5A of the FSA-229-1
5B Applicant's E-Mail Address	This is an optional entry. Producers enter their e-mail address if they elect to be notified of TAA benefit decisions or training by e-mail.
5C Applicant's Telephone Number	Enter the applicant's telephone number (<i>including Area Code</i>).
6 Name and Address of Farm Operation	Enter the name and address of the farm operation if it is different from the entry in Item 5A.

PART B – TAA for Farmers Petition for Which Benefits Are Requested.

Items 7 is completed by the applicant; Items 8 through 11N may be completed by the applicant, if known, but otherwise are completed by FSA.

Fld Name / Item No.	Instruction
7 Commodity/ State	Enter the name of the commodity for which you are applying for benefits and the State approved for benefits.
8 Petition Number	Enter the petition number for the certified commodity. This number is available from the FSA Notice regarding the specific commodity, and is posted at the FAS website: www.fas.usda.gov/itp/taa/taa.asp
9 Petition Filing Date	Enter the filing date of the commodity petition. This date is announced in the Federal Register notice that announced the commodity as certified and in the FSA Notice for the commodity.
10A Petition Certification Date	Enter the certification date of the commodity petition. This date is announced in the Federal Register notice that announced the commodity as certified and in the FSA Notice for the commodity.
10B Deadline for Completion and...	Enter the deadline for completion and approval of business plans. This date is 36 months from the petition certification date.

PART C – Applicant eligibility information. The applicant must answer Items 11A through 11D and complete entries under only one of the three tested eligibility options. Documentation is required for Items 11C and 11D.

11A Has the applicant received benefits...	The applicant enters a checkmark (☑) in either the “yes” or “no” box to indicate whether the applicant has received either training or cash payment under any other TAA for Farmers program starting from 2010. Producers are not ineligible if they received benefits under one of the TAA programs paid in 2004-2006. Applicants that answer “yes” to Item 11A are ineligible for benefits under another TAA for Farmers Program for 3 years.
11B Has the applicant received benefits...	The applicant enters a checkmark (☑) in either the “yes” or “no” box to indicate whether the applicant has received either training or cash benefits under any other TAA for Workers or Firms programs starting from 2010. These programs are administered via the U.S. Department of Labor, usually through State Employment Offices. Applicants that answer “yes” to Item 11B are ineligible for benefits under TAA for Farmers.
11C Did the applicant produce...in the petition marketing year?	The applicant enters a checkmark (☑) in either the “yes” or “no” box to indicate whether the applicant produced (caught) the petition commodity in the petition marketing year. Documentation of the entire quantity produced during the petition marketing years is not needed to respond positively to Item 11C. Applicants must answer “yes” to item 11C to be eligible for benefits.

Fld Name / Item No.	Instruction
11D Did the applicant produced in 1 or the 3....	The applicant enters a checkmark (☑) in either the “yes” or “no” box to indicate whether the applicant produced (caught) the petition commodity in one of the 3 years marketing years prior to the petition marketing year. (The prior year selected does not have to be the immediately prior year.) Documentation of the entire quantity produced during the prior selected year is not needed to respond positively to Item 11D. Applicants must answer “yes” to item 11D to be eligible for benefits.

Applicants may establish eligibility by satisfying the requirements of 1 of the 3 Options listed under Item 11 and submitting documentation.

Option 1: Is the applicant’s production quantity in the petition marketing year less than production in the most recent year of the 3 years prior to the petition marketing year?	
11E Production quantity for the petition MY	Enter the production quantity for the petition marketing year.
11 F Production quantity for the most recent year of the 3 years...	Enter the production quantity for the most recent year of the 3 years prior to the petition marketing year. For example, if the petition marketing year is 2009, then this entry is the production from the most immediately prior year for which production occurred and must be from 2008, 2007, or 2006. If there was no production in one of the three immediately prior years, then enter zero.
Option 2: Is the applicant’s average price received in the petition marketing year less than the average price received from the 3 most recent prior marketing years?	
11G Price received...	Enter the price received for production of the certified commodity for the petition marketing year.
11H Price received for production from last year before petition...	Enter the price received for production from the year of production most immediately prior to the petition marketing year. For example, if the petition marketing year is 2008, and the crop was produced in 2007, then enter the quantity of 2007 production. However, if the most immediately prior year of production was 2006, enter the 2006 quantity of production.
11I Price received...	Enter the price received for production from the year of production second most immediately prior to the petition marketing year.

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11J Price received for production from...	Enter the price received for production from the year of production third most immediately prior to the petition marketing year.
11K Sum of prices received...	Enter the sum of the prices entered in Items 11H – 11J.
11L Average of three prices.	Divide the entry in Item 11K by three and enter the result.
Option 3: Was the USDA county price for the commodity on the Petition filing date less than the average of USDA county prices for the three years immediately preceding the year of the filing date? (If USDA County prices are not available, prices from a verifiable third party may be used.)	
11M USDA county price for the...	Enter the USDA county price for the commodity on the petition filing date. The petition filing date is published in the Petition notice of Certification and is also available from the FSDA County Office. If USDA does not maintain a county price for the commodity, then County, area, or State prices from a verifiable third party such a University or marketing/promotion organization may be used.
11N Average USDA county price for the...	Enter the average USDA county price for the commodity for the 3 marketing years immediately prior to the petition filing date. For example, if the petition filing date is November 25, 2009, enter the average of USDA county prices for marketing years 2006 – 2008. If USDA does not maintain County annual average prices for the commodity, then County, area, or State annual average prices from a verifiable third party such a University or marketing/promotion organization may be used.

Part D – Applicant Certification and Signature(s)

12 Applicant's Signature	Read the certification statement and enter your signature certifying that the information submitted on this application is true and that you have read, understand, and agree to the certification statement in Part B. If you are mailing or faxing this form, print the form and manually enter your signature.
13	Item 13 entries are optional for the applicant. An individual

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Family member or entity representative authorized...	<p>applicant may elect to identify and authorize one family member as the “Primary” alternate to attend the training sessions by entering the name of the authorized family member. Thus, for individual applicants, one of two individuals may apply: the applicant or the primary alternate. An alternate may attend for only one applicant. The applicant shall not authorize an alternate if such alternate will represent another TAA applicant at training.</p> <p>If the applicant is an entity, the entity must enter the name of at least one person representing the entity who will attend the training on behalf of the entity. Such person is entered as the “Primary” alternate. An entity may also identify a “Secondary” representative as backup to the person planning to attend. Only one of the two named individuals is responsible to attend all training.</p>
14A Applicant’s Tax ID Number	<p>Enter the tax identification number (9 digits) for the applicant.</p> <p>Do not enter the tax ID for any person attending the training as an alternate, whether primary or secondary.</p>
14B Applicant’s Tax ID Type	<p>Applicant enters one of the following:</p> <p>S for Social Security; E for Employer; and T for IRS assigned.</p>
15 Date Signed	<p>Applicant enters the date (MM-DD-YYYY) of signature.</p>
16 Refused Payment?	<p>Applicant enters a check into the “YES” box if the applicant chooses to refuse the cash payment, or enters a check into the “NO” box if the applicants chooses to accept the cash payment.</p>

Parts E and F, Items 17 through 23C are for FSA use only.