



USDA Foreign Agricultural Service

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## Canada

### Food and Agricultural Import Regulations and Standards

### Export Certificate Report

## 2006

**Approved by:**

Lisa Anderson  
U.S. Embassy

**Prepared by:**

George Myles

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**Report Highlights:**

This report identifies export certificates issued by U.S. issuing authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is intended as a supplement to the Food and Agricultural Importation Regulation Report (FAIRS) submitted in July 2006 as CA6031.

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Includes PSD Changes: No  
Includes Trade Matrix: No  
Annual Report  
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## Executive Summary

This report identifies export certificates issued by U.S. issuing authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is not intended as a compendium of Canadian import requirements but rather as a supplement to the Food and Agricultural Importation Regulation Report (FAIRS) submitted in July 2006 as CA6031.

## Section I. List of Export Certificates

| Product(s)                     | Name of Certificate   | Purpose/Attestation  | Issuing Authority                       | Authority Requiring Certificate | Legal Reference                       | Electronic Copy Attached |
|--------------------------------|---|--|---|---------------------------------|---------------------------------------|--------------------------|
| All                            | NAFTA Certificate of Origin<br>CBP, form 434                                    | To claim preferential tariff treatment   | Bureau of Customs and Border Protection | CBSA                            | NAFTA                                 | YES;                     |
| Beef                           | Beef Export Verification Program Certificate                                    | To attest to eligibility to export beef to Canada                                  | AMS                                     | CFIA                            | Meat Inspection Regulations           | NO                       |
| Meat and Poultry Products      | FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products to Canada | Product Safety and Wholesomeness   | FSIS                                    | CFIA                            | Meat Inspection Regulations           | NO                       |
| Live Animals, Honeybees        | Zoosanitary Export Certificate  | Animal Health  | APHIS                                   | CFIA                            | Animal Health Regulations             | NO                       |
| Plant and Plant Products       | Phytosanitary Certificate<br>PPQ Form 577                                       | Plant Health, Freedom from Pests   | APHIS                                   | CFIA                            | Plant Health Regulations              | YES                      |
| Fresh Apples, Onions, Potatoes | Fresh Fruit and Vegetable Inspection Certificate FV-300                         | Grading and Quality  | AMS                                     | CFIA                            | Fresh Fruit and Vegetable Regulations | YES                      |
| Fish and Seafood               | NOAA Inspection Certificate   | Vessel And Plant Sanitation, Product Inspection, Grading, Safety And Wholesomeness | NOAA                                    | none                            | Voluntary                             | NO                       |
| Other Foods                    | FDA Certificate of Export   | The Food is Regulated by FDA   | FDA                                     | Not a requirement of entry      | N/A                                   | NO                       |

## Section II. Purpose of Export Certificates

### NAFTA Certificate of Origin

This is a trilaterally agreed upon form used by Canada, Mexico, and the United States to certify that goods qualify for the preferential tariff treatment accorded by NAFTA. The Certificate of Origin must be completed by the exporter.

## **USDA Beef Export Verification (BEV) Program**

The BEV export certification provides the specified product requirements for marketing U.S. beef to Canada. The requirements apply to U.S. suppliers (slaughterers, fabricators, or processors) of beef, beef products, and beef offal items that are eligible for export to Canada as listed with the Food Safety and Inspection Service (FSIS). Suppliers who wish to label and sell product as meeting the specified product requirements for Canada under the BEV Program must meet these requirements through an approved USDA Quality System Assessment. For more information, consult the USDA Export Verification Program website at: <http://www.ams.usda.gov/lsg/arc/bev.htm>

## **Meat And Poultry Export Certificate**

FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products to Canada certifies that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Canadian Meat Inspection regulations for imports of meat and poultry from the United States.

## **Zoosanitary Export Certificate**

Is an animal health form certifying that certain live animals and bees meet the animal health import requirements of Canada. For further information, see the electronic Animal Products Manual of the Animal and Plant Health Inspection Service of USDA:

[http://www.aphis.usda.gov/ppq/manuals/port/APM\\_Chapters.htm](http://www.aphis.usda.gov/ppq/manuals/port/APM_Chapters.htm)

## **Phytosanitary Certificate**

A phytosanitary certificate certifies that the plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform with the current phytosanitary regulations of Canada. For further information see the electronic manuals of the Animal and Plant Health Inspection Service of USDA:

[http://www.aphis.usda.gov/ppq/manuals/online\\_manuals.html](http://www.aphis.usda.gov/ppq/manuals/online_manuals.html)

The United States does not require phytosanitary export certification but provides the service, if requested. Plant Protection and Quarantine (PPQ) is the unit within the Animal and Plant Health Inspection Service (APHIS) that serves to assist exporters in meeting the plant quarantine import requirements of foreign countries.

## **Export Certification Manual**

Serves as an operational reference to be used when inspecting and certifying plants and plant products offered for export and when issuing federal plant export certificates. Provides Authorized Certification Officials specific instructions on how to help exporters meet the plant quarantine import requirements of foreign countries. Updated 10/07/05.

For additional information on APHIS Export Certification services, go to the following APHIS webpage:

<http://www.aphis.usda.gov/ppq/pim/exports/index.html>

## Fresh Fruit and Vegetable Inspection Certificate

AMS form FV-300 certifies that U.S. fresh apples, onions, or potatoes meet Canadian import standards for grade and quality established in the Canadian Fresh Fruit and Vegetable Regulations.

### Section III. Other Export Certifications

#### NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program offers a variety of professional inspection services which ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and certification services on a product lot basis are also provided. Benefits include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection.

#### Food and Drug Administration

The U.S. Food and Drug Administration can issue a Certificate of Export, which will generally indicate that the product is regulated by the Food and Drug Administration (FDA) and that the product is not at this time the subject of any enforcement action by the FDA. Such certificates are neither guarantees nor a certification of the product's safety and quality. They are issued at the request of a domestic (US) company.

FDA will issue Certificates assuming the product meets the requirements of 801(e) of the Federal Food, Drug, and Cosmetics Act as follows:

1. accords to the specifications of the foreign purchaser,
2. is not in conflict with the laws of the country to which it is intended for export,
3. is labeled on the outside of the shipping package that it is intended for export, and
4. the particular shipment is not sold or offered for sale in domestic commerce.

The U.S. Food and Drug Administration's Center for Food Safety and Applied Nutrition now has five offices that process requests for Certificates of Export. When mailing your request, include the specific office address as indicated below:

**Office of Plant and Dairy Foods and Beverages, HFS-300**  
**Or Office of Seafood, HFS-400**

U.S. Food and Drug Administration  
Center for Food Safety and Applied Nutrition  
5100 Paint Branch Parkway  
College Park, MD 20740-3835

For more information about FDA export certificates, go to the following FDA webpage:

<http://vm.cfsan.fda.gov/~lrd/certific.html>

## Organic

Although the certification of organic foods is not currently a requirement for product entry, Canada published a regulatory proposal in the Canada Gazette, Part I on September 2, 2006 to establish national regulations for organic food. There is a 75-day public comment period, but regulations are expected to be in place prior to December 31, 2006. Should this occur, it is anticipated that U.S. exports of organic products to Canada will require to be accompanied by an attestation issued by the Agricultural Marketing Service. For more information on Export Certificates (TM-11) and organic food visit the USDA's [National Organic Program \(NOP\) Web site on Trade Issues](#).

## Codex Committee on Food Import and Export Certification and Inspection Systems

The Codex Committee on Food Import and Export Certification and Inspection Systems is charged with developing principles and guidelines for food import and export certification and inspection systems. Included in the charge is the application of measures by competent authorities to provide assurance that foods comply with essential requirements. Recognition of quality assurance systems through the development of guidelines will help ensure that foods conform to the essential requirements. Food Import and Export Food Inspection and Certification Systems materials adopted by the Codex Alimentarius Commission are located in Volume 1A of the *Codex Alimentarius, General Requirements*.

The next meeting of the Codex Committee on Food Import and Export Certification and Inspection is currently scheduled for November 13-17, 2006 in Mar de Plata, Argentina. Matters to be considered at that meeting include:

- Draft Appendices to the *Guidelines for the Judgment of Equivalence of Sanitary Measures Associated with Food Import and Export Inspection and Certification Systems*
- Revision of the Codex *Guidelines for Generic Official Certificate Formats and the Production and Issuance of Certificates*
- Discussion Paper Issues related to a revision of the Codex *Code of Ethics for International Trade in Food*

## U.S. Delegate

Dr. Catherine Carnevale  
Director, Office of International Affairs  
Center for Food Safety and Applied Nutrition  
Food and Drug Administration (HFS-550)  
Harvey W. Wiley Federal Building  
5100 Paint Branch Parkway  
College Park, MD 20740-3835  
Phone: (301) 436-2380  
Fax: (301) 436-2618  
Email: [Catherine.Carnevale@fda.hhs.gov](mailto:Catherine.Carnevale@fda.hhs.gov)

**Section IV. Sample Forms**

Samples of several export certificate forms are shown on the following pages.

U.S. DEPARTMENT OF HOMELAND SECURITY  
Bureau of Customs and Border Protection  
**NORTH AMERICAN FREE TRADE AGREEMENT  
CERTIFICATE OF ORIGIN**

OMB No. 1581-0048  
See back of form for Paperwork Reduction Act notice.

Please print or type

19 CFR 181.11, 181.22

|  |   |
|--|---|
| <b>1. EXPORTER NAME AND ADDRESS</b><br><br>TAX IDENTIFICATION NUMBER:<br><b>2. PRODUCER NAME AND ADDRESS</b><br><br>TAX IDENTIFICATION NUMBER: | <b>3. BLANKET PERIOD</b><br>FROM _____<br>TO _____<br><b>4. IMPORTER NAME AND ADDRESS</b><br><br>TAX IDENTIFICATION NUMBER: |
|--|---|

| 2. DESCRIPTION OF GOODS | 3. HS TARIFF CLASSIFICATION NUMBER | 4. PREFERENCE CRITERION | 5. PRODUCER | 6. NET COST | 7. COUNTRY OF ORIGIN |
|-------------------------|------------------------------------|-------------------------|-------------|-------------|----------------------|
|                         |                                    |                         |             |             |                      |

I CERTIFY THAT:

- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF  PAGES, INCLUDING ALL ATTACHMENTS.

|                                  |                              |             |             |
|----------------------------------|------------------------------|-------------|-------------|
| <b>11a. AUTHORIZED SIGNATURE</b> | <b>11b. COMPANY</b>          |             |             |
| <b>11c. NAME (Print or Type)</b> | <b>11d. TITLE</b>            |             |             |
| <b>11e. DATE (MM/DD/YYYY)</b>    | <b>11f. TELEPHONE NUMBER</b> | <b>11g.</b> | <b>11h.</b> |

CBP Form 434 (04/07)

Fresh Fruit and Vegetable Inspection Certificate (Form FV-300)

An inspection certificate is completed for every inspection performed by AMS graders and AMS-licensed state personnel. This official document is accepted in all U.S. courts, and describes the quality and/or condition factors of product packed at shipping point locations or received at destination markets. This page contains a typical inspection certificate, broken out by major sections. Click on each section of the inspection certificate to get a complete explanation of its content.

|   |  |  |  |
|---|--|--|--|
| PREFIX: L 7 8 1 7 7<br>NUMBER: STATE: S W<br>L O  |  |  | LOGGING: L O<br>Pkg Unit: PU<br>Shaded: DL<br>C5: FND: U |
| CARTER / LOT ID DEMO BY: INSPECTOR<br>ACCESSOR LOT ID: B/2 34567  |  |  |  |
| CARTER TYPE / NAME: MECHANICALLY REFRIGERATED TRAILOR<br>REFRIGERATION UNIT: <input checked="" type="checkbox"/> On <input type="checkbox"/> Off<br>DOORS: <input checked="" type="checkbox"/> Open <input type="checkbox"/> Closed |  |  |  |

|  |
|--|
| APPLICANT: MY PRODUCE CO<br>Address: SOMEWHERE, USA<br>SHIPPER: THAT GROWER<br>Address: ANYWHERE, USA<br>Prep. Site: APPLICANT WAREHOUSE |
|--|

|  |  |
|--|--|
| U.S. DEPARTMENT OF AGRICULTURE<br>AGRICULTURAL MARKETING SERVICE |  |
| INSPECTION CERTIFICATE<br>K -                                    |  |
| m m d d y y hour min<br>0 4 0 1 0 2 0 7 1 5                      |  |

| LT | TEMPERATURE | PACK | PROD / VARIETY | UNIT                      | DATE | NUMBER OF CARTONS | INS |
|----|-------------|------|----------------|---------------------------|------|-------------------|-----|
| A  | 30          | 33   | TOMATOES       | 'LABEL NAME' EXX 35 B/BWT | S 1W | 600 CARTONS       | Y   |
| B  | 30          | 32   | SWEET PEPPERS  | 'LABEL NAME' 1 1/2 BL     | S 1W | 370 CARTONS       | Y   |

| LT | AVERAGE DEFECTS | POORING DAMAGE -%> 100% | POORING SER. DAM -%> 100% | OFFSIZE / DEFECTS   | OTHER                       |
|----|-----------------|-------------------------|---------------------------|---|-----------------------------|
| A  | 02              | 00                      | 00                        | UNDERSIZE (J-3 25/32)                                     | LOT A: AVERAGE APPROX       |
|    | 06              | 01                      | 00                        | QUALITY DEFECTS (SCARS, NOT FAIRLY WELL FORMED) (3 TO 8%) | 5% GREEN AND BREAKER        |
|    | 08              | 02                      | 00                        | SUNKEN DISCOLORED AREAS (4 TO 10%)                        | 30% TURNING AND PINK        |
|    | 02              | 00                      | 00                        | MOLDY STEMS   | 75% LIGHT RED AND RED       |
|    | 02              | 02                      | 02                        | DECAY   |                             |
| B  | 30              | 05                      | 02                        | CHECKSUM  | MEETS SIZE AS MARKED        |
|    | 04              | 00                      |                           | QUALITY DEFECTS (NOT FAIRLY WELL SHAPED, SCARS)           | LOT B: SIZE: 2 1/2 TO 3 INC |
|    | 06              | 01                      |                           | BRUISING (0 TO 9%)  | DIAMETER 2 1/2 TO 3 1/2 IN  |
|    | 02              | 00                      |                           | PITTED AREAS  | LENGTH                      |
|    | 03              | 03                      |                           | DECAY (0 TO 9%)   | NO UNDERSIZE                |
|    | 15              | 04                      |                           | CHECKSUM  | DECAY EARLY STAGES IN       |
|    |                 |                         |                           |   | 2% AFFECTING WALLS AND      |
|    |                 |                         |                           |   | 1% AFFECTING STEMS          |

|  |
|--|
| REASON: EACH LOT FAILS TO GRADE U.S. NO 1 ACCOUNT OF CONDITION |
| REMARKS: INSPECTION MADE DURING THE PROCESS OF UNLOADING       |

|  |   |                               |
|--|---|-------------------------------|
| WARNING: Any person who knowingly shall falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, is subject to a fine of not more than \$1,000 or imprisonment for not more than one year, or both. | I, the undersigned, a duly authorized inspector of the United States Department of Agriculture, do hereby certify that at the request of the applicant and on the date indicated, samples of the herein described product were inspected and the quality and/or condition as shown by said samples were as herein stated. | ESTIM.                        |
|  | Inspector's Signature   | Market Office: SOMEWHERE, USA |

FORM FV-300 (10-90) Replaces FV-300 (4-86) and FV-300 (1-80) which are obsolete

Return to: [Fresh Product Grading and Quality Certification](#)

Return to: [AMS Fruit and Vegetable Programs](#)

Example: State Application for Inspection and Certification of Plants and Plant Products for Export

|   |   |  |
|---|---|--|
| Montana Department of Agriculture<br>Agricultural Sciences Division<br>Field Services Bureau<br><b>APPLICATION FOR INSPECTION AND CERTIFICATION OF PLANTS AND PLANT PRODUCTS FOR EXPORT</b> |   | No Federal Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353). |
| 1. NAME AND ADDRESS OF EXPORTER   | 2. NAME AND ADDRESS OF APPLICANT (or exporter's agent)  |  |
| 3. NAME AND ADDRESS OF FOREIGN CONSIGNEE  | AREA CODE AND PHONE NO.<br>4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION (Port and State)  |  |
| 5. APPROX. DATE OF SHIPMENT   | 6. PORT OF EXPORT   |  |
| 7. DESCRIPTION OF ARTICLES TO BE CERTIFIED  |   |  |
| 8. QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME  | 9. NUMBER AND DESCRIPTION OF PACKAGES   |  |
| 10. DISTINGUISHING MARKS  | 11. CERTIFIED ORIGIN  |  |
| 12. TREATMENTS APPLIED TO COMMODITY (Must attach a statement of treatment)  | 13. EXPORT PERMIT NUMBER (Must attach copy of export permit with certified English translation)   |  |
| 14. DECLARED MEANS OF CONVEYANCE  | 15. DECLARED POINT OF ENTRY   |  |
| I certify that the origin (place where grown) of the articles listed is as represented.<br>16. SIGNATURE (applicant or exporter's agent) _____ 17. DATE _____                               |   |  |
| <b>EXPORT CERTIFICATE (To be filled by Authorized Official Only)</b>  |   |  |
| 18. LOCATION OF ARTICLES  | 19. VALUE OF ARTICLES<br><input type="checkbox"/> \$1000 OR LESS (provide exact amount in words)<br><input type="checkbox"/> MORE THAN \$1000 | 20. AMOUNT CHARGED<br><input type="checkbox"/> \$25<br><input type="checkbox"/> \$50                     |
| 21. % OF MATERIALS EXPORTED   | 22. % OF MATERIALS EXPORTED   |  |
| 23. FREIGHT AND/OR TREATMENT CHRG (Use reverse if necessary)  |   |  |
| 24. SIGNATURE   | 25. DATE AND TIME INSPECTED   |  |

ASD-P50 PC Application Form  
 (Mar 04)  
 (previous editions may not be used)